



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re the Appln. Of

Inventor(s): Tesfai et al.

Group Art Unit: 2634

Application No.: 10/707,588

Confirmation No.: 1587

Filing Date: December 23, 2003

Attorney Docket No.: Cognio38US2

Title: SYSTEM AND METHOD FOR JOINT MAXIMAL RATIO COMBINING  
USING TIME-DOMAIN BASED SIGNAL PROCESSING

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

Pursuant to the duty of disclosure requirements of 37 CFR 1.56, this Information Disclosure Statement is being submitted for entry in the above-identified application. It is being filed before the undersign's knowledge of the mailing of the first Office Action on the merits. Thus, no fee is believed due.

Attached is a form PTO-1449, together with copies of the cited references. The Examiner's consideration of the references is respectfully requested.

Respectfully submitted,

D. Andrew Floam

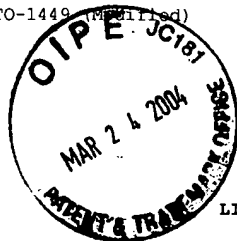
Reg. No. 34,597

Date: March 23, 2004

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I, D. Andrew Floam, hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450 Alexandria, VA 22313-1450

March 23, 2004



**COGNIO, INC.**  
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## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: Cognio38US2  
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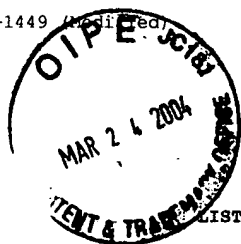
**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class/Subclass	Translation (Yes or No)
AA	WO 02/03568	1/10/2002	PCT		
AB	WO 01/45300	6/21/2002	PCT		

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**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

*Examiner Initial	Author, Title, Date, Pertinent Pages, Etc
BA	Bablan et al., "Optimum Diversity Combining and Equalization in Digital Data Transmission with Applications to Cellular Mobile Radio-PartII: Numerical Results", May 1992, IEEE Transactions on Communications, Vol. 30, No. 5, Pgs. 895-907
BB	Chuah et al., "Capacity of Multi-Antenna Array Systems in Indoor Wireless Environment", November 1998, IEEE Globecom
BC	Wallace et al., "Experimental Characterization of the MIMO Wireless Channel: Data Acquisition and Analysis", February 27, 2002, Department of Electrical and Computer Engineering, Brigham Young University
BD	Love et al., "Equal Gain Transmission in Multiple-Input Multiple-Output Wireless Systems", November 2002, Proceedings of IEEE Globecom, pgs. 1124-1128
BE	Vaidyanathan et al., "The Role of Lossless Systems in Modern Digital Signal Processing: A Tutorial," IEEE Transactions on Education, Vol. 32, August 1989, pp. 181-197.
BF	Raleigh et al., "Spatio-Temporal Coding for Wireless Communication," IEEE Transactions on Communications, Vol 46., No. 3, March 1998, pp. 357-366.
BG	Jungnickel et al., "Performance of a MIMO System with Overlay Pilots," IEEE GlobeCom 2001, pp. 594-598.
BH	BLAST High-Level Overview, Lucent Technologies, July 18, 2000
BI	Golden et al., "Detection Algorithm and Initial Laboratory Results Using V-BLAST space-time communication architecture," Electronic Letters, January 7, 1999, vol. 35, No. 1.



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<u>    </u> BJ	Golden et al., "V-BLAST: A High Capacity Space-Time Architecture for the Rich-Scattering Wireless Channel," Bell Laboratories, Lucent Technologies, Proc. Int'l Symposium on Advanced Radio Technologies, Boulder, CO, September 10, 1998.
<u>    </u> BK	Wolniansky et al., "V-BLAST: An Architecture for Realizing Very High Data Rates Over the Rich-Scattering Wireless Channel," Proc. ISSSE-98, Pisa, Italy, Sept. 29, 1998.
BL	Chizhik et al., "Keyholes, Correlations, and Capacities of Multielement Transmit and Receiver Antennas," IEEE Transactions on Wireless Communications, Vol. 1, No. 2, April 2002, pp. 361-368.
BM	Junqiang et al., "Spatial Multiuser Access with MIMO Smart Antennas for OFDM Systems," IEEE VTC '2001, September, 2001, pp. 1553-1557.
<u>    </u> BN	Stridh et al., "MIMO Channel Capacity on a Measured Indoor Radio Channel at 5.8 GHz," Proc. Of the Asilomar Conf. on Signals, Systems & Computers, Vol. 1, October, 2000, pp. 733-737.
BO	Jungnickel et al., "A MIMO WLAN Based on Linear Channel Inversion," IEE Seminar-MIMO Communication Systems from Concept to Implementation, December, 2001, pp. 20/1-20/6.
BP	Stridh et al., "Spatial Characterization of Indoor Radio Channel Measurements at 5 GHz," SAM '2000, March, 2000, pp. 58-62.
BQ	Irner, Ralf et al., "MISO Concepts for Frequency-Selective Channels," 2002 International Zurich Seminar on Broadband Communications Access, February 19-21, 2002.
BR	Choi, Ruly Lai-U et al., "MISO CDMA Transmission with Simplified Receiver for Wireless Communication Handsets," IEEE Transactions on Communications, Vol. 49, No. 5, May, 2002.
BS	Meyer-Ottens, Sven et al., "Downlink Beamforming for W-CDMA Using Feedback and Interference Estimate," March 9, 2001.
BT	Brunner, Christopher et al., "Downlink Beamforming for WCDMA Based on Uplink Channel Parameters," Proc. EPMCC 1999, pages 375-380, March 1999.
BU	Yang, Jian et al., "On Joint Transmitter and Receive Optimization for Multiple-Input-Multiple-Output (MIMO) Transmission Systems," IEEE Transactions on Communications, Vol. 42, No. 12, December, 1994.
BV	Ivrlac, Michel et al., "On Channel Capacity of Correlated MIMO Channels," ITG Fokusprojekt: Mobilkommunikation "Systeme mit intelligenten Antennen", Ilmenau, 2001.
BW	Iserte, Antonio Pascual et al., "Pre-and Post-Beamforming in MIMO Channels Applied to HIPERLAN/2 and OFDM," IST Summit 2001 (IST Mobile Communications Summit), September, 2001.
BX	Iserte, Antonio Pascual et al., "Joint Beamforming Strategies in OFDM-MIMO Systems," ICASSP 2002 (IEEE International Conference on Acoustics, Speech and Signal Processing), May, 2002.

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BY	Lee, Dennis et al., "Antenna Diversity for an OFDM System in a Fading Channel," Proceeding of IEEE MILCOM 1999, November, 1999, pages 1104-1109.
BZ	Jakes, William C., "Microwave Mobile Communications," Copyright 1974, pages 313-320 and 489-498.
CA	Yeh, Y.S., "An Analysis of Adaptive Retransmission Arrays in a Fading Environment," The Bell System Technical Journal, October, 1970, pages 1811-1825.
CB	Morgan, Samuel P., "Interaction of Adaptive Antenna Arrays in an Arbitrary Environment," The Bell System Technical Journal, January, 1965, pages 23-47.
CC	Aziz, Abdul M.K. et al., "Indoor Throughput and Range Improvements using Standard Compliant AP Antenna Diversity in IEEE 802.11a and ETSI HIPERLAN/2," Vehicular Technology Conference, 2002, VTC 2001, October 7-11, 2001, IEEE VTS 54 <sup>th</sup> , Volume 4, pages 2294-2298.
CD	Iserte, Antonio Pascual et al., "Iterative Algorithm for the Estimation of Distributed Sources Localization Parameters," SSP 2001 (11 <sup>th</sup> IEEE Workshop on Statistical Signal Processing), August, 2001.
CE	Heath, Robert W., Jr., "A Simple Scheme for Transmit Diversity Using Partial Channel Feedback," Signals, Systems & Computers, Conference Record of the Thirty-Second Asilomar Conference November 1-4, 1998, Vol. 2, pages 1073 - 1078.
CF	"Lucent's 'BLAST' chips to Take Off in Wireless Market," October 16, 2002, Semiconductor Business News.
CG	"Lucent Technologies' Chips Poised to Bring 'BLAST' Multiple Input/Multiple Output Technology to Laptops, PDA's and Other Mobile Devices," October 16, 2002, Lucent Technologies.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s)